

From: Michael D. Montoya
Sent: Thursday, September 25, 2014 2:57 PM
Subject: CPUC's Ex Parte Communication Rules

While we are well aware of the CPUC's ex parte communication rules, this situation makes clear that awareness of the rules is not enough. We must understand them and ensure they are consistently adhered to. With our industry currently engaged in dialogue about this important topic, now is the perfect opportunity to make sure we all have a thorough understanding of the rules that govern our communications with regulators. We also are formalizing two procedural steps in this area to ensure continued compliance with the CPUC's ex parte rules:

- If you intend to initiate a conversation with a Commissioner, a Commissioner's Advisor or Administrative Law Judge ("Decisionmaker") about a pending ratemaking or adjudicatory proceeding, you are expected to notify and seek guidance in advance from either the lawyer assigned to the proceeding or the SCE General Counsel or his designee to determine if the ex parte rules apply to the communication and, if so, to make arrangement for the Law Department to prepare and file any required notice(s). This discussion should also be used to confirm that the proposed communication is not completely prohibited under the CPUC's rules (e.g., because the communication would be on a substantive issue in an adjudicatory proceeding).
- If a Decisionmaker initiates a substantive communication with you about a pending proceeding that is either covered or potentially covered by the ex parte rules, you should promptly report the conversation to the Law Department (as described above).

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